



*Life, Health
& Financial
Services*



Libardi Service Agency
1065 Old Country Road
Westbury, NY 11590-5693

Tel: 516-333-3611
Fax: 516-997-0816

Page 1 of 3 To guarantee your privacy and security,
please print this form, fill-out, and deliver to our office in
person or by mail. Please be sure to fill-out all 3 pages
of this Investor Profile.

INVESTOR PROFILE QUESTIONNAIRE

**INVESTOR
INFORMATION**

<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Dr.	
Client Name (Last, First, Middle Initial)	
Current Address	
Telephone Number - Daytime	Evening
Social Security Number	Date of Birth Currently Employed: <input type="checkbox"/> Yes <input type="checkbox"/> No
Occupation	Marital Status: <input type="checkbox"/> Married <input type="checkbox"/> Single <input type="checkbox"/> Divorced
Combined Household Income	
Anticipated Retirement Age	Total Number of Dependents
Federal: _____	State: _____
What is your current tax bracket? _____	
Spouse's Name (Last, First, Middle Initial)	
Address	
Social Security #	<input type="checkbox"/> Yes <input type="checkbox"/> No Currently Employed
Occupation	Anticipated Retirement Age
What Are Your Current Concerns or Questions _____	



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Please list the value of your current assets, and indicate if you have been comfortable with the fluctuations in value of these types of investment:

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INVESTMENT EXPERIENCE **Page 2 of 3** To guarantee your privacy and security, please print this form, fill-out, and deliver to our office in person or by mail. Please be sure to fill-out all 3 pages of this Investor Profile.

INVESTMENT TYPE	Retirement Accounts (401k, IRAs)	Non-Retirement Accounts	Are You Comfortable with Fluctuations in Value Associated with this Type of Investment
Savings Accounts, Money Market, & CD's	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Bonds/Bond Funds	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Municipal Bonds/Municipal Bond Mutual Funds	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
U.S. Stocks/Stock Mutual Funds	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
International Stocks/Stock Mutual Funds	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Options or Futures	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Gold or Precious Metals	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Other Liquid Assets	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Investment Real Estate	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
Other (please list)			
	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No
TOTAL	\$	\$	<input type="checkbox"/> Yes <input type="checkbox"/> No



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Determine Your Risk Profile and Investment Objectives

The first step in the Asset Management Process is to determine your investor profile. This profile will help define important factors such as your investment objectives, time horizon, and your attitudes toward investing.

This profile will help build the base of information needed for you to progress to the next step in the asset management process – the development of

an appropriate asset allocation policy.

To complete this profile, answer each question by typing the number from the right hand column that best matches your personal situation in the box below each group of questions, then, add-up the numbers from each box and type the total in the Investment Objectives Total box. Be sure you submit all three pages of the Investor Profile Forms.

Which of the following best describes your investment objectives?

- Preserving principal and earning a moderate amount of income.....1
- Generating a high amount of current income.....2
- Generating some current income and growing assets.....3
- Growing my assets substantially.....4

Comments:

Five years from now, what do you expect your standard of living to be?

- The same as it is now.....1
- Somewhat better than it is now.....2
- Substantially better than it is now.....3

Comments:

Ten years from now, what do you expect your portfolio value to be?

- The same as or a little more than it is today.....1
- Moderately greater than it is today.....2
- Substantially greater than it is today.....3

Comments:

What is your current income requirement (interest plus dividends)

- More than 4%.....1
- 2% to 4%.....2
- 0% to 2%.....3

Comments:

What do you want to do with the income generated by your portfolio?

- Receive all income.....1
- Receive some and reinvest some.....2
- Reinvest all income.....3

Comments:

Investment Objectives Total: